# Characterization of ACM varieties with *d*-linear resolutions

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- X ⊂ P(V) = P<sup>n+e</sup>: a nondegenerate, irreducible and reduced variety (not necessarily smooth) defined over K = K of char (K) ≥ 0, dim(X) = n, deg(X) = d and codim(X, P(V)) = e.
- $R/I_X$ : the projective coordinate ring of X where  $R = K[x_0, x_1, ..., x_{n+e}]$  is a coordinate ring of  $\mathbb{P}(V) = \mathbb{P}^{n+e}$ ,  $I_X = \bigoplus_{m \ge 0} H^0(\mathcal{I}_X(m))$  is the saturated ideal.
- depth(X) = depth( $R/I_X$ ) = min{ $i \mid H^i(\mathcal{I}_X(m)) \neq 0$ } for some  $m \in \mathbb{Z}, i \geq 1$  and  $1 \leq depth(R/I_X) \leq dim(R/I_X) = n + 1$ .
- X is called ACM if depth $(R/I_X) = n + 1$ , i.e  $H^i(\mathbb{P}^{n+e}, \mathcal{I}_X(m)) = 0$  for all  $m \in \mathbb{Z}, 1 \le i \le n$ .
- X has a *d*-linear resolution if  $R/I_X$  has a *d*-linear minimal free resolution.

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- There are unique minimal free resolutions of  $R/I_X$  and  $R(X) = \bigoplus_{m>0} H^0(\mathcal{O}_X(m))$  and associated Betti tables.
- It is still interesting to consider the minimal free resolution:  $\dots \rightarrow L_i \rightarrow L_{i-1} \rightarrow \dots \rightarrow L_1 \rightarrow R \rightarrow R/I_X \rightarrow 0$  where  $L_i = \bigoplus_j R(-i-j)^{\beta_{i,j}(X)}.$
- $\beta_{i,j}(X)$  is the rank of the degree i + j part in  $L_i$  and  $\beta_{i,j}(X) := \dim_K \operatorname{Tor}_i^R(\mathbb{R}/I_X, K)_{i+j}$ .
- $\beta_{1,1}(X)$ : the number of quadrics  $Q_i \in I_X$ ;
- $\beta_{2,1}(X)$  is the number of linear relations of the form  $\Sigma L_i Q_i = 0$ ;
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- By the symmetry of Tor, the graded Betti numbers are also defined via the Koszul exact sequence of the base field *K*:
- $V = K\langle x_0, \dots, x_{n+e} \rangle$  be the *K*-vector space in  $K[x_0, \dots, x_{n+e}]$ . Then,  $\operatorname{Tor}_i^R(R/I_X, \mathbf{K})_{i+j}$  is the homology of the Koszul complex:

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- where the map is given by  $\partial_{i,j}(x_{\alpha_1} \wedge x_{\alpha_2} \wedge \cdots \wedge x_{\alpha_i} \otimes m) = \sum_{1 \le \mu \le i} (-1)^{\mu-1} x_{\alpha_1} \cdots \wedge x_{\alpha_\mu} \wedge \ldots \wedge x_{\alpha_i} \otimes (x_{\alpha_\mu} \cdot m).$
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#### • The Betti table of $R/I_X$

	0	1	2	3	•••	<i>i</i> – 1	i	<i>i</i> + 1		$\triangle$
0	1	—	—	_	• • •	_	-	—		_
1	_	$\beta_{1,1}$	$\beta_{2,1}$	$\beta_{3,1}$	• • •	$\beta_{i-1,1}$	$\beta_{i,1}$	$\beta_{i+1,1}$		$\beta_{\triangle,1}$
2	_	$\beta_{1,2}$	$\beta_{2,2}$	$\beta_{3,2}$	• • •	$\beta_{i-1,2}$	$\beta_{i,2}$	$\beta_{i+1,2}$		$\beta_{\triangle,2}$
:	_	_		_			:			·
j	_	$\beta_{1,j}$	$\beta_{2,j}$	$eta_{3, \mathbf{j}}$	•••	$\beta_{i-1,j}$	$\beta_{i,j}$	$\beta_{i+1,j}$	• • • •	$\beta_{ riangle,j}$
÷				_	·		:	:		·
	_	$\beta_{1,\Box}$	β <b>2</b> ,□	$\beta_{3,\Box}$		$\beta_{i-1,\Box}$	$\beta_{i,\Box}$	$\beta_{i+1,\Box}$		$\beta_{\triangle,\Box}$

•  $\triangle$  = the projective dimension of  $R/I_X \ge e$ .

•  $\Box = \operatorname{reg}(R/I_X) = \operatorname{reg}(X) - 1 \le d - e$  if X is irreducible, reduced (Eisenbud-Goto Conjecture).

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2	_	$\beta_{1,2}$	$\beta_{2,2}$	$\beta_{3,2}$	• • •	$\beta_{i-1,2}$	$\beta_{i,2}$	$\beta_{i+1,2}$		$\beta_{\triangle,2}$
:	_	_		_	•••		÷	÷		·
j	_	$\beta_{1,j}$	$\beta_{2,j}$	$\beta_{3,j}$	• • •	$\beta_{i-1,j}$	$\beta_{i,j}$	$\beta_{i+1,j}$	• • • •	$\beta_{\Delta,j}$
÷				_	·		:	:		·
	_	$\beta_{1,\Box}$	β <b>2</b> ,□	β <b>3</b> ,□		$\beta_{i-1,\Box}$	$\beta_{i,\Box}$	$\beta_{i+1,\Box}$		$\beta_{\triangle,\Box}$

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	0	1	2	3	•••	<i>i</i> – 1	i	<i>i</i> + 1		$\triangle$
0	1	—	—	_	• • •	_	-	—		_
1	_	$\beta_{1,1}$	$\beta_{2,1}$	$\beta_{3,1}$	• • •	$\beta_{i-1,1}$	$\beta_{i,1}$	$\beta_{i+1,1}$		$\beta_{\triangle,1}$
2	_	$\beta_{1,2}$	$\beta_{2,2}$	$\beta_{3,2}$	• • •	$\beta_{i-1,2}$	$\beta_{i,2}$	$\beta_{i+1,2}$		$\beta_{\triangle,2}$
:	_	_		_			:			·
j	_	$\beta_{1,j}$	$\beta_{2,j}$	$eta_{3, \mathbf{j}}$	•••	$\beta_{i-1,j}$	$\beta_{i,j}$	$\beta_{i+1,j}$	• • • •	$\beta_{ riangle,j}$
÷				_	·		:	:		·
	_	$\beta_{1,\Box}$	β <b>2</b> ,□	$\beta_{3,\Box}$		$\beta_{i-1,\Box}$	$\beta_{i,\Box}$	$\beta_{i+1,\Box}$	•••	$\beta_{\triangle,\Box}$

- $\triangle$  = the projective dimension of  $R/I_X \ge e$ .
- $\Box = \operatorname{reg}(R/I_X) = \operatorname{reg}(X) 1 \le d e$  if X is irreducible, reduced (Eisenbud-Goto Conjecture).

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- J. Macollough and I. Peeva announced the counterexamples in the seminar talk in the U. of Michigan in July, 2016.
- They claim that the regularity of non-degenerate homogeneous prime ideals is not bounded by any polynomial function of the degree. So, it provides counter-examples to the longstanding Eisenbud-Goto Regularity Conjecture.
- For integral curves, EG conjecture is true!(Castelnuovo(1896), Gruson-Lazarsfeld-Peskine (1986)).
- For smooth cases, it is still open and it is good to consider some conditions under which EG conjecture is true even in the integral varieties.

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#### The simplest Betti table - Varieties of minimal degree

- X<sup>n</sup> ⊂ ℙ<sup>n+e</sup>: nondegenerate, irreducible and reduced (not necessarily smooth) of degree d ≥ e + 1. Then X is called a "variety of minimal degree"(VMD) if d = e + 1.
- The simplest Betti table of X which is 2-linear ACM with

$$\beta_{i,1} = i \cdot \begin{pmatrix} e+1\\ i+1 \end{pmatrix} :$$

Table 1minimal degree varieties

• A VMD has a rational normal curve section and they have the same Betti table.

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▶ X is of minimal degree  $\Leftrightarrow$  X is 2-regular ACM (characterization) if and only if X is (a cone of) one of the following (classification);

(a) a quadric hypersurface;

(b) a Veronese surface  $\nu_2(\mathbb{P}^2)$  in  $\mathbb{P}^5$ ;

(c) a rational normal scroll, i.e.  $\mathbb{P}(\mathcal{E}) \hookrightarrow \mathbb{P}^{\sum a_i + d}$ , where  $\mathcal{E} \simeq \bigoplus_{i=0}^{d} \mathcal{O}_{\mathbb{P}^1}(a_i), a_i \ge 1$ .

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 For a variety X satisfying N<sub>2,p</sub>, if X ∩ Λ is finite for a linear space Λ of dimension p, then they are linearly independent as a scheme. (Eisenbud-Green-Hulek-Popescu 2005)

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2	-	-	-	-		-	$\beta_{p+1,2}$	• • •	$\beta_{\triangle,2}$
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Let *C* be a smooth curve of genus *g* and gonality gon(C) completely embedded in  $\mathbb{P}^r$  by  $\mathcal{L}$ . Suppose  $deg(\mathcal{L}) = 2g + 1 + p \ge 4g - 3$  and  $r = h^0(C, \mathcal{L}) - 1 = g + p + 1$ . Then we have the following:

- $\beta_{i,1}(C) \neq 0 \iff 1 \le i \le r \operatorname{gon}(C)$  (Ein-Lazarsfeld);
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	0	1	р	<i>p</i> + 1	$r - \operatorname{gon}(C)$	<i>r</i> – 1
0	- 1					
1						
2						$\beta_{r-1,2} = g$

In addition, there exists (*p* + 3)-secant (*p* + 1)-plane by the geometric Riemann-Roch and β<sub>p+1,2</sub> ≠ 0. So N<sub>2,p+1</sub> does not hold for *X*.

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### **d**-regular in a few steps for $d \ge 2$ .

Sijong Kwak (KAIST, Korea) Characterization of ACM varieties with *d*-linea

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• More generally, one says that X satisfies  $N_{d,p}$  for  $d \ge 2$  if  $\beta_{i,j}(X) = 0, 1 \le i \le p, j \ge d$ , i.e. X is d-regular until p-th step. Note that X is d-regular if  $N_{d,p}$  holds for all  $p \ge 1$ .

	0	1	2		р	<i>p</i> + 1	
0	1						
1							
2							
							14
d							
				÷.,			1.

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Characterization of ACM varieties with d-linea

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	0	1	2		р	<i>p</i> + 1	
0	1						
1							
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	0	1	2		р	<i>p</i> + 1		$\bigtriangleup$
0	1	_	-		-	_	• • •	
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÷	_	_						·
<i>d</i> – 1	—	$\beta_{1,d-1}$	$eta_{2,d-1}$	• • •	$\beta_{p,d-1}$	$\beta_{p+1,d-1}$	• • •	$\beta_{ riangle, d-1}$
d	—	_		• • •		$eta_{p+1,d}$	• • •	$eta_{ riangle, \textit{d}}$
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$$\deg(X) \leq \binom{d-1+e}{e}$$

•  $deg(X) = \binom{d-1+e}{e}$  if and only if X is ACM with *d*-linear resolution.

### Remark

- $N_{2,e}$  iff deg(X) = e + 1 iff X is 2-regular ACM iff X is a VMD.
- Eisenbud-Green-Hulek-Popescu call this property (for *d* = 2) "the syzygetic rigidity" in 'Restricting linear syzygies'(2005).
- [Problem] Does N<sub>d,e</sub> property imply the *d*-regularity of X for d ≥ 3? This is true for d = 2.

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- [Problem] Does N<sub>d,e</sub> property imply the *d*-regularity of X for *d* ≥ 3? This is true for *d* = 2.

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- Interprete it locally by sheafification!
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Let  $S_1 = k[x_1, ..., x_{n+e}] \subset R = k[x_0, x_1, ..., x_{n+e}]$ Let M be a graded R-module (so, M is also a graded  $S_1$ -module). Then, we have a natural long exact sequence:  $\operatorname{Tor}_i^R(M)_{i+j} \to \operatorname{Tor}_{i-1}^{S_1}(M)_{i-1+j} \xrightarrow{\times X_0} \operatorname{Tor}_{i-1}^{S_1}(M)_{i-1+j+1} \to \operatorname{Tor}_{i-1}^R(M)_{i-1+j+1}$ whose connecting homomorphism is induced by the multiplication map  $\times x_0 : M(-1) \to M$ .

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In particular, ACM varieties with *d*-linear resolution are very special:

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The case of d = 2 has been well known and useful to classify non-normal del Pezzo varieties because the entry locus is a quadric.

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## The first strand of the Betti table

#### The structure of the Betti Table.

We are intereested in the firsr linear strand starting from quadric equations:

$$\beta_{1,1}(X), \beta_{2,1}(X), \ldots, \beta_{e,1}(X), \ldots$$

■ Natural Philosophy: More quadrics X has, higher linear syzygies of quadrics can go further !

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## The first strand of the Betti table

#### The structure of the Betti Table.

We are intereested in the firsr linear strand starting from quadric equations:

$$\beta_{1,1}(X), \beta_{2,1}(X), \ldots, \beta_{e,1}(X), \ldots$$

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**Elementary questions**:  $X^n \subset \mathbb{P}^{n+e}$ : nondegenerate, irreducible and reduced defined over  $K = \overline{K}$  of char(K)  $\geq 0$ .

"How many quadric hypersurfaces containing X?"

- (Castelnuovo, 1889)  $\beta_{1,1}(X) = h^0(\mathcal{I}_{X/\mathbb{P}^{n+e}}(2)) \le {e+1 \choose 2}$  and "=" holds iff X is a variety of minimal degree, i.e. deg(X) = e + 1.
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The (next-to-simplest) Betti table of a del Pezzo variety with

$$\beta_{i,1}(X) = i \binom{e+1}{i+1} - \binom{e}{i-1}$$

	0	1	2	3	i	<i>e</i> – 1	е
0	1						
1							
2							$\beta_{e,2} = 1$

 A del Pezzo variety has an elliptic normal curve section or a rational nodal curve section and they have the same Betti table.

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:

	0	1	2	3		i		<i>e</i> – 1	е
0	1		—	-	• • •	_	• • •	—	—
1	_	$\beta_{1,1}$	$\beta_{2,1}$	$\beta_{3,1}$	• • •	$\beta_{i,1}$	• • •	$\beta_{e-1,1}$	—
2	_	—	_	_	• • •	_	—	—	$\beta_{e,2} = 1$

 A del Pezzo variety has an elliptic normal curve section or a rational nodal curve section and they have the same Betti table.

#### Castelnuovo's simple proof.

 $\Gamma = X \cap \mathbb{P}^e$  is a set of *d*-points in general position for general  $\mathbb{P}^e$ . Since  $d \ge e + 1$ , take a subset  $\Gamma' = \{p_1, p_1, \dots, p_{e+1}\} \subset \Gamma \subset \mathbb{P}^e$ .  $h^0(\mathcal{I}_X(2)) \le h^0(\mathcal{I}_{\Gamma}(2)) \le h^0(\mathcal{I}_{\Gamma'}(2)) = {e+2 \choose 2} - (e+1) = {e+1 \choose 2}$ .

Inner projection method is more powerful.

**Theorem** [Basic Inequality] (Han-K, 2015)

- $\beta_{i,1}(X) \le \beta_{i,1}(X_q) + \beta_{i-1,1}(X_q) + {e \choose i}, \ i \ge 1.$
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#### Castelnuovo's simple proof.

 $\Gamma = X \cap \mathbb{P}^e$  is a set of *d*-points in general position for general  $\mathbb{P}^e$ . Since  $d \ge e + 1$ , take a subset  $\Gamma' = \{p_1, p_1, \dots, p_{e+1}\} \subset \Gamma \subset \mathbb{P}^e$ .  $h^0(\mathcal{I}_X(2)) \le h^0(\mathcal{I}_{\Gamma}(2)) \le h^0(\mathcal{I}_{\Gamma'}(2)) = \binom{e+2}{2} - (e+1) = \binom{e+1}{2}$ .

Inner projection method is more powerful.

**Theorem** [Basic Inequality] (Han-K, 2015)

•  $\beta_{i,1}(X) \leq \beta_{i,1}(X_q) + \beta_{i-1,1}(X_q) + {e \choose i}, i \geq 1.$ 

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# **Natural Philosophy**: More quadrics *X* has, higher linear syzygies of quadrics can go further !

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 $X \subset \mathbb{P}^{n+e}$ : irreducible, reduced (not necessarily smooth).

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#### We also characterize Fano varieties as follows:

**Theorem** [Han-K, 2015] Unless *X* is a variety of minimal degree, then we have

$$eta_{i,1}(X) \leq i inom{e+1}{i+1} - inom{e}{i-1} \quad \textit{for all } 1 \leq i \leq e \ ,$$

and in particular,

• X is del Pezzo iff  $\beta_{i,1}(X) = i {e+1 \choose i+1} - {e \choose i-1}$  for some  $1 \le i \le e-1$ **Corollary** There is no projective variety with the Betti number

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#### The structure of Betti Tables.

We are intereested in the firsr linear strand starting from cubic equations assuming that X has no quadrics:

 $\beta_{1,2}(X), \beta_{2,2}(X), \ldots, \beta_{e,2}(X), \ldots$ 

#### We have many parallel problems as in the quadratic world.

- How many cubics are required for  $\beta_{p,2}(X) \neq 0$  for  $1 \leq p \leq e$ ?;
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# Notion of ND(2)

An irreducible variety  $X^n \subset \mathbb{P}^{n+e}$  is called a ND(*m*)(i.e., nondegenerate in degree *m*) variety if for a general  $\Lambda$  of dimension *e*,  $(I_{X \cap \Lambda})_m = 0$ .

- $ND(1) \Leftrightarrow$  nondegenerate  $\Leftrightarrow$  not contained in any hyperplane.
- ND(2) ⇔ nondegenerate in degree 2 ⇔ not contained in any quadric after linear sections.
- The Betti table of ND(d-1) variety X in  $\mathbb{P}^{n+e}$ :

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Characterization of ACM varieties with d-linea

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	0	1	2	3		i	<i>i</i> + 1	 $\triangle$
0	1	—	—	—		—	—	 —
1	-	—	—	—		—	—	 —
÷	_	_		_	·		÷	
<i>d</i> – 1	-	$\beta_{1,d-1}$	$\beta_{2,d-1}$	$\beta_{3,d-1}$		$\beta_{i,d-1}$	$\beta_{i+1,d-1}$	 $\beta_{\triangle,d-1}$
d	—	$\beta_{1,d}$	$\beta_{2,d}$	$eta_{3, \mathbf{d}}$		$\beta_{i,d}$	$\beta_{i+1,d}$	 $\beta_{ riangle, d}$
:				_	•			 

Sijong Kwak (KAIST, Korea)

# Upper bound of $\beta_{p,2}(X)$ and $K_{p,2}$ Theorem

#### Theorem (Ahn, Han and K-, preprint)

Suppose that  $X^n \subset \mathbb{P}^{n+e}$  is a ND(2) subscheme, defined over  $K = \overline{K}$  of char (K) = 0. Then,

- $\binom{e+2}{2} \leq \deg(X)$  and  $h^0(\mathcal{I}_X(3)) \leq \binom{e+2}{3}$ .
- In general,  $\beta_{p,2}(X) \leq {p+1 \choose 2} {e+2 \choose p+2}$  for  $p \geq 1$ .
- For the extremal cases, the following are equivalent:
  - (a)  $\deg(X) = \binom{e+2}{2}$ ;
  - (b)  $h^0(\mathcal{I}_X(3)) = \binom{e+2}{3}$ ;
  - (c) one of  $\beta_{p,2}(X)$  attains "=" for  $1 \le p \le e$  ;
  - (d) I<sub>X</sub> has ACM 3-linear resolution.

This also gives a natural  $K_{\rho,2}$  theorem generalizing  $K_{\rho,1}$ -theorem because  $\beta_{\rho,2}(X) = 0$  for  $\rho > e$ .

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  - (a)  $\deg(X) = \binom{6+2}{2}$ ;
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$$\beta_{p,d-1}(X) \le \beta_{p,d-1}(R/m^d) = {e+d-1 \choose p+d-1} {p+d-2 \choose d-1}$$
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- (a) Generic initial ideal Consider in<sub>τ</sub>(g(I)). For a general change g, in<sub>τ</sub>(g(I)) is constant. We will call this the generic initial ideal of I w.r.t τ Gin<sub>τ</sub>(I)
- (b) Other ingredients
  - Use degree reverse lexicographic order;
  - Cancellation principle;
  - Eliahou-Kervaire theorem;

- 
$$Gin(\overline{I}) = \frac{(Gin(I), x_n)}{(x_n)} = Gin(I)|_{x_n \to 0};$$

- $Gin(\overline{I}^{sat}) = \bigcup_{k=0}^{\infty} (Gin(\overline{I}) : x_{n-1}^k) = (Gin(I)|_{x_n \to 0})|_{x_{n-1} \to 1}.$
- (c) **Strategy** Find maximal possible Borel fixed set which contains  $Gin(I_X)_3!$

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- For all ND(2)-varieties, deg(X) ≥ (<sup>e+2</sup><sub>2</sub>) and 3-linear ACM varieties are called 'minimal degree varieties of the second kind'.
- **Problem**: What is a geometric properties /or classification of 'minimal degree varieties of the second kind'?

- 3-minors of  $4 \times 4$  generic symmetric matrix (i.e.  $Sec(v_2(\mathbb{P}^3)) \subset \mathbb{P}^9)$ ;
- 3-minors of 3 × (e + 2) sufficiently generic matrices (e.g. Sec(RNS));
- Sec $(V_3(\mathbb{P}^2));$
- Sec $(\mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^1)$ ;
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