## NUMERICAL SEMIGROUPS <br> \& KUNZ POLYTOPES

OSAKA UNIVERSITY
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## Introduction

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In how many ways can we remove $g$ positive integers from $\mathbb{N}_{0}$ so that the remaining set is additively closed?

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## Answer:

I don’t know!

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- The multiplicity of $S$ is the smallest nonzero element in $S$.
- The embedding dimension of $S$ is the size of its minimal generating set.
- The Frobenius number of $S$ is the largest number NOT in $S$.


## Example

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$$
\begin{aligned}
S & =\{0,3,6,8,9,11,12,14,15,16, \ldots\} \\
& =\mathbb{N}_{0} \backslash\{1,2,4,5,7,10,13\} \\
& =\langle 3,8\rangle
\end{aligned}
$$

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& m(S)=3 \\
& F(S)=13 \\
& e(S)=2
\end{aligned}
$$

## Applications

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- Diophantine Equations:

Nonnegative integer solutions to equations of the form $a_{1} x_{1}+\ldots+a_{n} x_{n}=b$, where $a_{1}, \ldots, a_{n}$ and $b$ are natural numbers with gcd $\left(a_{1}, \ldots, a_{n}\right)=1$

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- Commutative Algebra:

Families of numerical semigroups yielding complete intersection and thus Gorenstein semigroup rings of the form $\mathrm{K}\left[\mathrm{t}^{\mathrm{a}}\right.$ : a in S ]

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## - Commutative Algebra:

Families of numerical semigroups yielding complete intersection and thus Gorenstein semigroup rings of the form $\mathrm{K}\left[\mathrm{t}^{\mathrm{a}}\right.$ : a in S ]

- Algebraic Geometry:

The local intersection multiplicities of formal power series form a numerical semigroup under some conditions

## Applications

- Algebraic Codes:

Classification of Weierstrass numerical semigroups in coding theory and cryptography

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Classification of Weierstrass numerical semigroups in coding theory and cryptography

- Moreover:

Factorization of monoids
Singularities of plane algebraic curves
One-dimensional analytically irreducible local domains
etc...

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In how many ways can we remove $g$ positive integers from $\mathbb{N}_{0}$ so that the remaining set is additively closed?

Equivalently:
What is the number $\mathrm{N}(\mathrm{g})$ of numerical semigroups with genus g ?

## Tree Structure

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## Numerical Computation

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- $N(10)=204$
- $\mathrm{N}(20)=37,396$
- $\mathrm{N}(30)=5,646,773$
- $\mathrm{N}(40)=774,614,284$
- $\mathrm{N}(50)=101,090,300,128$
- $\mathrm{N}(67)=377,866,907,506,273$


## Bounds

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- Bras Amoros `08:
$\mathrm{N}(\mathrm{g}) \leq \frac{1}{g+1}\binom{2 g}{g}$
- Bras Amoros `08, Elizalde `10:
$\mathrm{F}_{\mathrm{g}+2}-1 \leq \mathrm{N}(\mathrm{g}) \leq 1+3.2^{\mathrm{g}-3}$


## Asymptotical Behavior

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- Conjecture (Bras-Amoros `08):

1) $\lim _{g \rightarrow \infty} \frac{N(g-1)+N(g-2)}{N(g)}=1$
2) $\lim _{g \rightarrow \infty} \frac{N(g)}{N(g-1)}=\varphi$, the golden ratio.

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- Theorem (Zhai `13):
$\lim _{g \rightarrow \infty} \frac{N(g)}{\varphi^{g}}=\mathrm{K}$ for some constant K , hence 1) and 2) hold.


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- Strong Genus Conjecture:

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N(g) \geq N(g-1)+N(g-2) \text { for all } g \geq 2
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- Weak Genus Conjecture:
$N(g) \geq N(g-1)$ for all $g \geq 1$


## Apéry Sets

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- Let $S$ be a numerical semigroup with multiplicity m. The Apéry set of $S$ with respect to m is defined as
$A p(S, m)=\{0, w(1), w(2), \ldots, w(m-1)\}$,
where $\mathrm{w}(\mathrm{i})=\mathrm{k}_{\mathrm{i}} \mathrm{m}+\mathrm{i}$ is the smallest element in S that is congruent to $\mathrm{i} \bmod \mathrm{m}$.


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1) $g(S)=k_{1}+k_{2}+\ldots+k_{m-1}$

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- Theorem (Selmer `77):

1) $g(S)=k_{1}+k_{2}+\ldots+k_{m-1}$
2) $F(S)=\max [A p(S, m)]-m$

## From N(g) to N(m,g)

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- Theorem (Kunz `87, Rosales et al. `02):

There is a one-to-one correspondence between the set of numerical semigroups of genus g and multiplicity m and the integer points satisfying the following conditions:

$$
\begin{aligned}
x_{i} & \geq 1, \\
x_{i}+x_{i}-x_{i+j} & \geq 0 \\
x_{i}+x_{i}-x_{i+j-m} & \geq-1
\end{aligned}
$$

$$
\begin{aligned}
& \text { for all } \mathrm{i}=1,2, \ldots, \mathrm{~m}-1 \\
& \text { for all } 1 \leq \mathrm{i} \leq \mathrm{j} \leq \mathrm{m}-1 \& i+j \leq m-1 \\
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x_{1}+\ldots+x_{m-1} & =g
\end{aligned}
$$

$$
\begin{aligned}
& \text { for all } i=1,2, \ldots, m-1 \\
& \text { for all } 1 \leq i \leq j \leq m-1 \& i+j \leq m-1 \\
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& \mathrm{x}_{\mathrm{i}}+\mathrm{x}_{\mathrm{i}}-\mathrm{x}_{\mathrm{i}+\mathrm{j}} \geq 1 \\
& \mathrm{x}_{\mathrm{i}}+\mathrm{X}_{\mathrm{i}}-\mathrm{X}_{\mathrm{i}+\mathrm{j}-\mathrm{m}} \geq 0 \\
& \mathrm{x}_{1}+\ldots+\mathrm{X}_{\mathrm{m}-1}=\mathrm{g}
\end{aligned}
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& \text { for all } \mathrm{i}=1,2, \ldots, \mathrm{~m}-1 \\
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## Polyhedral Structure

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- Let $\mathrm{P}_{\mathrm{N}}$ be the polytope corresponding to $\mathrm{N}(\mathrm{m}, \mathrm{g})$ and $\mathrm{P}_{\mathrm{MED}}$ the polytope corresponding to $\operatorname{MED}(\mathrm{m}, \mathrm{g})$. Define $P$ to be the polytope satisfying the same inequalities with 0 on the right hand side.


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- Clearly, $\mathrm{P}_{\text {MED }} \subseteq \mathrm{P} \subseteq \mathrm{P}_{\mathrm{N}}$ and they all are ( $\mathrm{m}-2$ )-dimensional.
- Embedding the last equation into the previous inequalities, we can write these polytopes in the form $A \cdot x \geq b(g)$ where $A$ is a matrix with integer entries and $b$ is a vector whose coordinates are linear functions in terms of $g$. We mention some of the properties of $\mathrm{P}_{\mathrm{N}}$ :


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1) The matrix $A$ has $\left\lfloor\frac{m^{2}-1}{2}\right\rfloor$ rows.
2) The coordinates of $\mathrm{b}(\mathrm{g})$ belong to the set $\{0,1,-1, \mathrm{~g},-\mathrm{g}+1,-\mathrm{g}-1,-2 \mathrm{~g}-1\}$.
3) For $\mathrm{g} \geq(\mathrm{m}-1)^{2}$, the polytope $P$ "stabilizes", i.e. $\varphi(\mathrm{m})$ inequalities become redundant.

The Case $\mathrm{m}=4$

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## Combinatorial Structure

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- Let $\mathrm{p}(\mathrm{g})$ be the number of integer points in P .

Since $\mathrm{P}_{\text {MED }} \subseteq \mathrm{P} \subseteq \mathrm{P}_{\mathrm{N}}$, it follows that $\operatorname{MED}(\mathrm{m}, \mathrm{g}) \leq \mathrm{p}(\mathrm{g}) \leq \mathrm{N}(\mathrm{m}, \mathrm{g})$

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- Theorem (Kaplan `12):

For fixed $m, N(m, g)$ agrees eventually with a quasipolynomial in $g$ of degree $m-2$, with period depending on m . The same holds for $\operatorname{MED}(\mathrm{m}, \mathrm{g})$.

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- Theorem (Blanco et al. `11):

For fixed $m, N(m, g)$ and $\operatorname{MED}(m, g)$ can be computed in polynomial time. Consequently, the same holds for $\mathrm{N}(\mathrm{g})$ and MED (g).

## Combinatorial Structure

- Theorem:

$$
\lim _{g \rightarrow \infty} \frac{N(m, g)}{g^{m-2}}=\lim _{g \rightarrow \infty} \frac{M E D(m, g)}{g^{m-2}}=\operatorname{Vol}(P)
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$$

- For small values of m , the volume of P is computed:

$$
\frac{1}{3}, \frac{1}{12}, \frac{1}{135}, \frac{71}{81,648}, \frac{1,633}{36,288,000}, \frac{12,256,093}{3,923,023,104,000}, \ldots
$$

## Combinatorial Structure

- Theorem:

$$
N(m, g) \leq M E D(m, g+m-1) \text { for all } g \geq 0 \text { and } m \geq 2 .
$$

## Combinatorial Structure

- Theorem:

$$
N(m, g) \leq \operatorname{MED}(m, g+m-1) \text { for all } g \geq 0 \text { and } m \geq 2 .
$$

Equality holds when m is prime and $\mathrm{g}>\frac{(m-1)(m-2)}{2}$.

## The Case $\mathrm{m}=4$ (continued)

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$$
\mathrm{N}(4, g)=\left\{\begin{array}{c}
\frac{g^{2}}{12}+\frac{g}{2} \\
\frac{g^{2}}{12}+\frac{g}{2}-\frac{7}{12} \\
\frac{g^{2}}{12}+\frac{g}{2}-\frac{1}{3} \\
\frac{g^{2}}{12}+\frac{g}{2}-\frac{1}{4}
\end{array}\right.
$$

## The Case $m=4$ (continued)

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\mathrm{N}(4, g)=\left\{\begin{array}{l}
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\end{array} \quad \operatorname{MED}(4, g)=\left\{\begin{array}{c}
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\mathrm{N}(4, \mathrm{~g})=\left\{\begin{array}{c}
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\end{array} \quad \mathrm{MED}(4, \mathrm{~g})=\left\{\begin{array}{c}
\frac{g^{2}}{12} \\
\frac{g^{2}}{12}-\frac{1}{12} \\
\frac{g^{2}}{12}-\frac{1}{3} \\
\frac{g^{2}}{12}+\frac{g^{2}}{12}+\frac{1}{4}-\frac{1}{6}
\end{array} \quad \mathrm{p}(\mathrm{~g})=\left\{\begin{array}{l}
\frac{g^{2}}{12}+\frac{5 g}{12}-\frac{1}{6} \\
\frac{g^{2}}{12}+\frac{g}{12} \\
\frac{g^{2}}{12}+\frac{g}{12}+\frac{1}{2} \\
\frac{g^{2}}{12}+\frac{5 g}{12}+\frac{1}{2} \\
\frac{g^{2}}{12}+\frac{g}{12}-\frac{2}{3} \\
\frac{g^{2}}{12}+\frac{5 g}{12}+\frac{1}{3}
\end{array}\right.\right.\right.
$$

## Special Results

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- $N(4, g)=$ number of partitions of $g+6$ into 3 parts such that the $\mathrm{i}^{\text {th }}$ part is greater than i


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- $\mathrm{N}(4, \mathrm{~g})=$ number of partitions of $\mathrm{g}+6$ into 3 parts such that the $\mathrm{i}^{\text {th }}$ part is greater than i
- $\operatorname{MED}(4, g)=$ number of partitions of $g+3$ into 3 distinct parts.


## Future Directions

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- Strong \& Weak Genus Conjectures:

$$
N(g) \geq N(g-1)+N(g-2) \text { for all } g \geq 2 \& N(g) \geq N(g-1) \text { for all } g \geq 1
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- Nondecreasing Sequences:

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N(m, g) \geq N(m, g-1) \& \operatorname{MED}(m, g) \geq \operatorname{MED}(m, g-1) \text { for all } m \geq 2
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- Nondecreasing Sequences:
$N(m, g) \geq N(m, g-1) \& \operatorname{MED}(m, g) \geq \operatorname{MED}(m, g-1)$ for all $m \geq 2$
- Other types of numerical semigroups:

One can define symmetric, pseudo-symmetric, Arf, irreducible, saturated, etc... numerical semigroups and ask the same questions!!
What properties does a 'generic' numerical semigroup have, for large g???

## Future Directions

| $g \backslash m$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | $N(\mathrm{~g})$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |
| 1 |  | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |
| 2 |  | 1 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 2 |
| 3 |  | 1 | 2 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 4 |
| 4 |  | 1 | 2 | 3 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 7 |
| 5 |  | 1 | 2 | 4 | 4 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 12 |
| 6 |  | 1 | 3 | 6 | 7 | 5 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 23 |
| 7 |  | 1 | 3 | 7 | 10 | 11 | 6 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 39 |
| 8 |  | 1 | 3 | 9 | 13 | 17 | 16 | 7 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 67 |
| 9 |  | 1 | 4 | 11 | 16 | 27 | 28 | 22 | 8 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 118 |
| 10 |  | 1 | 4 | 13 | 22 | 37 | 44 | 44 | 29 | 9 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 204 |
| 11 |  | 1 | 4 | 15 | 24 | 49 | 64 | 72 | 66 | 37 | 10 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 343 |
| 12 |  | 1 | 5 | 18 | 32 | 66 | 85 | 116 | 116 | 95 | 46 | 11 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  | 592 |
| 13 |  | 1 | 5 | 20 | 35 | 85 | 112 | 172 | 188 | 182 | 132 | 56 | 12 | 1 |  |  |  |  |  |  |  |  |  |  |  |  | 1001 |
| 14 |  | 1 | 5 | 23 | 43 | 106 | 148 | 239 | 288 | 304 | 277 | 178 | 67 | 13 | 1 |  |  |  |  |  |  |  |  |  |  |  | 1693 |
| 15 |  | 1 | 6 | 26 | 51 | 133 | 191 | 325 | 409 | 492 | 486 | 409 | 234 | 79 | 14 | 1 |  |  |  |  |  |  |  |  |  |  | 2857 |
| 16 |  | 1 | 6 | 29 | 61 | 163 | 237 | 441 | 559 | 754 | 796 | 763 | 587 | 301 | 92 | 15 | 1 |  |  |  |  |  |  |  |  |  | 4806 |
| 17 |  | 1 | 6 | 32 | 68 | 196 | 301 | 573 | 750 | 1094 | 1246 | 1282 | 1172 | 821 | 380 | 106 | 16 | 1 |  |  |  |  |  |  |  |  | 8045 |
| 18 |  | 1 | 7 | 36 | 80 | 236 | 369 | 737 | 1015 | 1534 | 1841 | 2074 | 2045 | 1759 | 1122 | 472 | 121 | 17 | 1 |  |  |  |  |  |  |  | 13467 |
| 19 |  | 1 | 7 | 39 | 89 | 282 | 444 | 945 | 1334 | 2106 | 2601 | 3227 | 3356 | 3217 | 2580 | 1502 | 578 | 137 | 18 | 1 |  |  |  |  |  |  | 22464 |
| 20 |  | 1 | 7 | 43 | 104 | 330 | 541 | 1193 | 1737 | 2840 | 3561 | 4812 | 5301 | 5401 | 4976 | 3702 | 1974 | 699 | 154 | 19 |  | 1 |  |  |  |  | 37396 |

